

# Executive Summary

## AP-05 Executive Summary - 91.200(c), 91.220(b)

### 1. Introduction

In 2018-2019 the U.S. Department of Housing and Urban Development (HUD) will provide the City of Avondale with an allocation of \$723,301 in Community Development Block Grant (CDBG) funds. The City may use these funds to undertake a variety of community development activities, including: rehabilitation of housing and commercial spaces, assistance to first-time homebuyers, construction of public infrastructure and facilities, demolition of unsafe structures, economic development and assistance to public service providers.

Prior to expenditure of these funds, the City must complete a two-step process to: 1. assess the needs of low and moderate income residents and neighborhoods and determine goals that address these needs; and 2; identify and fund specific activities that further these goals. The first step results in the development of a Consolidated Plan, which occurs every five years. Within this five-year cycle, the City also prepares Annual Action Plans that identify and fund specific activities in furtherance of the Consolidated Plan. Finally, a component of the Consolidated Planning process includes an Analysis of Impediments to Fair Housing Choice, which identifies barriers to affordable housing experienced by residents, as well as recommendations to eliminate these barriers. Development of all three Plans is a highly participatory process, involving substantial input from the public.

The City adopted its current Consolidated Plan in April 2015, which will be in effect through the 2019-2020 CDBG program years. Concurrently, the City adopted three of the five Annual Action Plans since then to fund activities with the City's 2015, 2016 and 2017 allocations from HUD. This document will further the goals defined in the Consolidated Plan, and assign funding to specific activities with the City's 2018-2019 CDBG allocation from HUD.

### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Through careful evaluation of existing conditions, plans and public input, the City of Avondale developed the following goals to be pursued in the 2015-2019 Consolidated Planning cycle: 1) preserve and expand affordable housing to low and moderate income residents; 2) replace outdated infrastructure in low and moderate income areas; 3) acquire and demolish dilapidated structures; 4) support internal and external

efforts to provide programming and other assistance to underserved populations, particularly youth and seniors; 5) promote business growth throughout the City with an emphasis on the Western Avenue area and other commercial areas of Historic Avondale; 6) affirmatively further Fair Housing in Avondale; and 7) reduce residential Lead-Based Paint Hazards.

In furtherance of these goals, the City will continue to fund existing programs including:

- Emergency Home Repair Program
- Historic Avondale Street and Infrastructure Improvements
- Youth Services programming
- Revitalization activities & Small Business Assistance
- Administration

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Avondale became a CDBG entitlement and received approval of its first Consolidated Plan in May 2006. Since that time, the City continues to evaluate its programs and procedures in compliance with applicable regulations, and refines processes to enhance efficiency and comply with regulatory changes.

During the 2010-2014 Consolidated Planning cycle, the City undertook several CDBG-funded activities including: 1) Owner Occupied Housing Rehabilitation – 45 homes received emergency home repair assistance and five homes received substantial home repair assistance (CDBG-R funding); 2) Public Improvements to Infrastructure – completed reconstruction of twelve streets in low-income neighborhoods in Historic Avondale; 4) Youth Job Training and Employment Programs – assisted 56 teens with job training, summer jobs and tuition assistance; 5) Façade Improvements -- assisted five Historic Avondale businesses with exterior renovation; 6) Loan Guarantees – partnered with Arizona Multi-Bank (a CDFI) to guarantee loans to five Historic Avondale for business expansion; 7) Demolition – one dilapidated single-family home was demolished.

In addition to CDBG entitlement funding, the City also receives an annual allocation of HOME funds through the Maricopa HOME Consortium. During this planning cycle, the City also obtained two competitively-awarded HOME funds through the Arizona Department of Housing, and two Neighborhood Stabilization Program (NSP) funding awards. Combined, HOME funding from both sources was used to complete substantial home repairs at sixteen owner-occupied units. NSP funding provided Homebuyer Assistance – assisted 40 homebuyers.

To date, during the current 2015-2019 Consolidated Planning cycle, the City has completed the following activities:

- Design and partial construction of seven deteriorated streets in Historic Avondale
- Completed emergency home repairs at 31 owner-occupied units
- Assisted five businesses with loan guarantee and technical assistance in the Western Avenue Historic Corridor
- Completed one facade improvement project
- Assisted 87 youth aged 16-21 with summer employment training

In addition to these CDBG-funded activities, the City has also completed the following with HOME funds through the Maricopa HOME Consortium and the AZ Dept. of Housing and NSP program income on hand:

- Assisted 27 owner-occupied households with substantial home repairs
- Constructed two single-family homes and resold to income-qualified buyers
- Provided first-time homebuyer assistance to seven eligible buyers
- Demolished two deteriorated vacant structures

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The City of Avondale undertook extensive outreach to residents, business owners, non-profit service providers, and elected and appointed officials. The City held 2 public hearings and discussed the citizen participation process, findings and proposed activities and proposed activity funding with 2018-2019 CDBG funds. A summary of public hearing comments is in the following section.

#### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The City's public participation process indicated a need to continue funding programs that: preserve existing housing stock; expand affordable housing opportunities for low and moderate income households; develop and support programming for youth and other underserved populations; improve public safety through the acquisition and demolition of unsafe structures; expand economic opportunities through infrastructure development; assist small businesses and redevelopment within the City's Revitalization Areas.

At the first public hearing held on February 21, 2018, one attendee commented on the need for additional public services in the City, particularly to seniors, and questioned the City's lack of CDBG funding provided to external human service organizations. Staff responded that the City has a robust senior program funded through the Area Agency on Aging and City General Funds, as well as the Contributions Assistance Program, which provides General Funds to non-profits that provide human services that benefit Avondale residents. Staff further explained that given the level of CDBG funding received versus the needs of the community, the amount of funding available for additional public services would not have a noticeable impact and would be more effective supporting other activities.

The City held its second public hearing concurrently with the Neighborhood and Family Services Commission meeting on February 28, 2018. Attendees recognized the high degree of need for the discussed activities, and questioned the need to allocate 20% of the City's CDBG allocation to administration. Staff explained that the 20% administrative allocation is within the allowable regulatory cap and covers payroll costs for 1.25 FTEs responsible for overseeing and implementing program activities.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

The City of Avondale accepted all comments offered by the public.

## **7. Summary**

Results from the City's public participation process indicated the continued need to support low and moderate income residents and neighborhoods with emergency home repairs, upgrades to infrastructure, programs that assist vulnerable populations (particularly youth), as well as programs that assist small businesses and improve economic conditions in the the City's Revitalization Areas. For this reason, the City will continue to fund the following programs:

- Emergency Home Repair Program
- Historic Avondale Street and Infrastructure Improvements
- Youth Services Next Step Summer Internship

- Various revitalization activities & assistance to small businesses within the City's Revitalization Areas

**PR-05 Lead & Responsible Agencies - 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator		Neighborhood & Family Services Department

**Table 1 – Responsible Agencies**

**Narrative**

The Housing and Community Development Division within the City's Neighborhood and Family Services Department is responsible for all facets of compliance with the City's CDBG program.

**Consolidated Plan Public Contact Information**

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## **AP-10 Consultation - 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

Development of this year's Action Plan is based on the needs as described in the 2015-2019 Consolidated Plan. A total of 72 organizations were consulted in the development of the Consolidated Plan. The draft 2018-2019 Annual Action Plan was made available at the Care1st Avondale Resource Center, which provides space for many of these organizations. A list of all City human service partners is included in the following section.

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).**

The City of Avondale maintains partnerships with a variety of external agencies and groups to implement Consolidated Plan goals. Most notably, the Care1st Resource Center (Center) is a centralized hub for a variety of human services agencies to provide assistance to low and moderate income residents in several southwest valley cities. The Center provides a range of services through several providers and funders, including: Helping Families in Need (assistance with renewal and applications for AHCCCS health care, nutrition assistance (food stamps) and cash assistance); Community Action Partnership Program (rental and utility assistance); Southwest Valley Literacy (GED, ESL, and literacy classes); Adelante Healthcare (Women, Infant and Children the USDA food program); Eve's Place (domestic violence support groups); Goodwill of Central Arizona (job referrals and employment training); New Life Center (domestic violence awareness, safety planning and shelter referrals); New Leaf-Siemer-Homelessness prevention program with partnering schools; Teen Outreach Pregnancy (support for pregnant teens, prenatal and parenting support); Maricopa Workforce Connection (employment services and resources); Area Agency on Aging (support for the senior community through resources and referrals); Father Matters (support for parents going through divorce and custody issues); Maricopa County Juvenile Probation Department (youth life skills and parenting classes); Trellis (first-time homebuyer education); Salvation Army (community support and emergency financial assistance); International Rescue Committee (citizenship test prep classes); New Directions Institute (baby brain box classes and playgroups for parents and children birth to 5 years); Maricopa County Department of Health (SNACK Program – car seat safety class/car seat distribution); First Things First (developmental, hearing and vision screenings for children birth to five years of age); Kith and Kin (classes to educate in home child providers on best practices, CPR/ first aid certification); First Teeth First (free dental screenings, fluoride varnishing and dental education children birth to five); Avondale Fire Department (CPR classes & certification); Nurturing Parents Raise Great Kids (12-week parenting classes); Valley of the Sun United Way (workshops on school readiness); University of Arizona (Expanded Food and Nutrition Program); Arizona Priority Education and Counseling (court-mandated parenting classes); Southwest Families Advocacy Center (parent & child sexual abuse prevention workshops); Arizona Science Center (classes for Science, Technology, Engineering and Math for children aged birth to five);

Maricopa County Human Services Department (Weatherization Program intake and coordination);  
Housing Authority of Maricopa County (Housing Choice Voucher Program intake and coordination).

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The City of Avondale does not participate in the Continuum of Care initiative, but participates in the Annual Homeless Count through the Maricopa Association of Governments, as well as operates a number of programs at the Care1st Avondale Resource Center that prevent homelessness or assist those who are currently homeless. The City also partners with the First Southern Baptist Church in the implementation of an IHelp program. Started in January, 2017 the program has expanded to cover all days of the month, with seven churches providing overnight shelter. Currently, the program can accommodate ten people (including families), and is working to expand capacity. Lutheran Social Services provides case management services at the Resource Center, where participants can also access other services provided by the City and its other partners.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

Not applicable. The City of Avondale does not receive ESG funds.

**2. Agencies, groups, organizations and others who participated in the process and consultations**

**Table 2 – Agencies, groups, organizations who participated**

2	<b>Agency/Group/Organization</b>	Care1st Health Plan
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Development of this year's Action Plan is based on the needs as described in the 2015-2019 Consolidated Plan. A total of 72 organizations were consulted in the development of the Consolidated Plan. The draft 2018-2019 Annual Action Plan was made available at the Care1st Avondale Resource Center, which provides space for many of these organizations.
3	<b>Agency/Group/Organization</b>	Area Agency on Aging
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Development of this year's Action Plan is based on the needs as described in the 2015-2019 Consolidated Plan. A total of 72 organizations were consulted in the development of the Consolidated Plan. The draft 2018-2019 Annual Action Plan was made available at the Care1st Avondale Resource Center, which provides space for many of these organizations.
4	<b>Agency/Group/Organization</b>	Valley of Sun United Way
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Children Services-homeless Services-Education Services-Employment Regional organization

	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Economic Development Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Development of this year's Action Plan is based on the needs as described in the 2015-2019 Consolidated Plan. A total of 72 organizations were consulted in the development of the Consolidated Plan. The draft 2018-2019 Annual Action Plan was made available at the Care1st Avondale Resource Center, which provides space for many of these organizations.
5	<b>Agency/Group/Organization</b>	A NEW LEAF
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Development of this year's Action Plan is based on the needs as described in the 2015-2019 Consolidated Plan. A total of 72 organizations were consulted in the development of the Consolidated Plan. The draft 2018-2019 Annual Action Plan was made available at the Care1st Avondale Resource Center, which provides space for many of these organizations.

**Identify any Agency Types not consulted and provide rationale for not consulting**

The City of Avondale maintains relationships with organizations that provide a variety of diverse services that meet residents' needs. The City's consultation process includes agencies that reflect the needs of the City's residents as well as partner organizations that provide a variety of human services in the City.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Maricopa Association of Governments	<p>The City's Annual Action Plan addresses several of the CoC's goals to end homelessness, including:</p> <ul style="list-style-type: none"> <li>- promote community-wide goals to end homelessness - the City participates in the Annual Street Count and is a partner with Lutheran Social Services (LSS) in the operation of an I-Help program organized within the Care1st Avondale Resource Center.</li> <li>- Provide funding to quickly rehouse homeless individuals - the City provides funding to LSS through its Contributions Assistance Program to support staff costs associated with case management services.</li> <li>- Promote access to and effective utilization of mainstream programs - the I-Help program is a well-established model for providing emergency shelter to homeless residents through partnership with public, non-profit and faith-based organizations.</li> <li>- Optimize self sufficiency - the City's approach to human services is to provide complete wrap around services to all program participants. Each I-Help participant receives case management services, as well as access to all services at the Resource Center, including those related to housing, employment, literacy, parenting, health maintenance and others. The City's goal in this method is to get clients back in their feet so that they can permanently take care of themselves and their families after receiving services.</li> </ul>
2030 General Plan	City of Avondale	<p>There are a number of goals and strategies within the City's current General Plan that support the goals this Annual Action Plan, including:</p> <ol style="list-style-type: none"> <li>1. Maximize the efficiency and effectiveness of affordable housing and neighborhood stabilization programs.             <ul style="list-style-type: none"> <li>Policies:                 <ul style="list-style-type: none"> <li>A. Participate in the activities and efforts of non-government and governmental housing providers and neighborhood organizations.</li> <li>B. Continue to implement neighborhood housing assistance programs.</li> <li>C. Promote the occupancy of existing, vacant homes.</li> <li>D. Identify new prototypes for quality high-density residential housing.</li> </ul> </li> </ul> </li> <li>2. Encourage safe and well-maintained housing, neighborhoods, and buildings that are free from blight.             <ul style="list-style-type: none"> <li>Policies:                 <ul style="list-style-type: none"> <li>A. Encourage the preservation of locally and culturally significant buildings and sites, including adaptive reuse.</li> <li>B. Encourage owner-occupied housing.</li> <li>C. Actively enforce the City's Property Maintenance Codes.</li> <li>D. Play a lead role by maintaining and continuously improving public spaces within Avondale.</li> <li>E. Emphasize the demolition of unoccupied substandard and or blighted buildings.</li> <li>F. Provide development incentives that encourage retrofitting existing, obsolete retail centers.</li> </ul> </li> </ul> </li> </ol>

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Historic Avondale Revitalization Plan	City of Avondale	The City's Historic Avondale Revitalization Plan also supports elements within this Annual Action Plan, including: A. Encourage homeownership opportunities B. Increase employment and diversify job base C. Strengthen and enhance existing buildings and neighborhoods D. Target public investment in infrastructure E. Refine and attract niche or specialty retail businesses

**Table 3 - Other local / regional / federal planning efforts**

**Narrative**

**List of Partner Agencies**

- A New Leaf
- A New Life
- Adelante Healthcare WIC
- Area Agency on Aging
- Avondale Elementary School District
- Building Bright Futures, Child and Family Resources
- Care1st Health Plan of Arizona
  
- Community Legal Services
- Duet
- Estrella Mountain Community College
- Eve's Place
- Father Matters
- First Teeth First, MDPH
- First Things First
  
- Goodwill Inc.

- Helping Families In Need
- International Rescue Committee
- Luke Air Force Base
- Lutheran Social Services
  
- Maricopa County Adult Probation
- NPRGK/KidswatchAZ, Pilgrims Rest
- Pendergast Elementary School District
- Salvation Army
- Southwest Family Advocacy Center
- Southwest Valley Literacy Assoc.
- Taking Turns Toybrary
- Teen Outreach Pregnancy Services
- University of Arizona, EFNEP
- Valley of the Sun United Way

## **AP-12 Participation - 91.401, 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The City held two public hearings related to the development of the 2018-2019 Annual Action Plan. These hearings were held on February 21, 2018 and February 28, 2018 (concurrent with that evening's Neighborhood & Family Services Commission meeting).

A draft plan was made available for a 30-day public comment period (February 21, 2018 through March 23, 2018). The Draft Plan was available at the City's website ([www.avondaleaz.gov](http://www.avondaleaz.gov)) and in hard copy at the Care1st Avondale Resource Center and the Avondale Community Center.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
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1	Public Hearing	Non-targeted/broad community	<p>The City held two public hearings associated with the development of this Annual Action Plan (February 21, 2018 and February 28, 2018). There was one attendee at the first public hearing. The Neighborhood and Family Services attended the second public hearing.</p>	<p>Comments received at public hearings and during the comment period indicated the need to continue to support low and moderate income residents and neighborhoods, particularly in the City's three Revitalization Areas. The City's multipronged approach to addressing these needs with housing, infrastructure, social service and economic development activities was seen as an appropriate way to address identified needs. At the first public hearing, one</p>	<p>The City accepted all comments received from the public.</p>	
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
				<p>attendee discussed the need to fund additional public service activities, particularly those that benefit seniors. At the second public hearing, two attendees (members of the NFS Commission), questioned the need to allocate 20% of CDBG funds to administration.</p>		

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

#### Introduction

The City of Avondale anticipates level funding from CDBG and HOME annual allocations, as represented in the table. While this Plan assumes level funding from year to year, the City is aware of declining allocations, and is taking steps to increase funding, leverage internal and external resources, form mutually beneficial partnerships to maximize the benefits of CDBG and HOME funding, as well as address the substantial need in the City. The following outlines the City’s anticipated HUD resources throughout the 2018-2019 Annual Action Plan funding year.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	723,301	0	0	723,301	723,301	CDBG funds will be used for emergency home repairs, streets/infrastructure improvements, public services and economic development/revitalization activities.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Acquisition Admin and Planning Housing	210,065	0	0	210,065	210,065	The City participates in the Maricopa HOME Consortium and anticipates level funding through the end of the Consolidated Plan cycle. Combined with anticipated program income/recaptured funding, the City estimates that approximately \$468,196 in HOME funds will be available during this period.

**Table 5 - Expected Resources – Priority Table**

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

The City matches HOME funds received through the Maricopa HOME Consortium with General Funds dedicated to that purpose. \$49,234 in HOME matching funds from the City's General Fund for FY 2018-2019 are available to support the City's projects. The City also has allocated approximately \$2.8 million to provide development fee reductions to any party that completes residential and/or commercial improvements within the city's designated Infill Development District. This area largely coincides with the City's designated Revitalization Areas that contain significant number of low and moderate income residents. Finally, the City has created an Acquisition & Demolition Program that will allow the City to acquire and demolish vacant and dilapidated housing and rehabilitate substandard housing. The program received \$100,000 in budget supplemental funding, and staff is working to identify properties for demolition. The City has also allocated \$100,000 in General Funds for the Contributions Assistance Program, which provides up to \$10,000 in funding to non-profits that provide human services to Avondale residents.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs**

## **identified in the plan**

In FY 17/18, the City completed a full property inventory of all City-owned parcels throughout Avondale. Assessment of each parcel for development suitability is complete. The City will be issuing an RFP covering nine vacant parcels redeveloped into affordable housing. Completion of the RFP process is expected by the end of FY 18-19.

## **Discussion**

The City will combine annual CDBG and HOME allocations with program income and HOME match from local funds to undertake housing, infrastructure, public services and business development throughout the City. The City will continue to explore new opportunities for grant funding from other sources to supplement these funds in order to realize the goals of this Consolidated Plan.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Preserve and Expand Affordable Housing Inventory	2015	2019	Affordable Housing	Historic Avondale Revitalization Area Avondale Infill Incentive District	Preserve & Expand Quality Affordable Housing	CDBG: \$258,097 HOME: \$279,738	Homeowner Housing Rehabilitated: 20 Household Housing Unit
2	Replace Outdated Infrastructure in LMI Areas	2015	2019	Non-Housing Community Development	Historic Avondale Revitalization Area	Replace outdated infrastructure Create Business Growth & Expansion Opportunities	CDBG: \$225,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 500 Households Assisted
3	Support Programming for Underserved Populations	2015	2019	Homeless Non-Homeless Special Needs	Historic Avondale Revitalization Area Avondale Infill Incentive District	Provide Opportunities to Vulnerable Populations	CDBG: \$32,500	Public service activities for Low/Moderate Income Housing Benefit: 26 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Promote Business Development and Growth	2015	2019	Non-Housing Community Development	Historic Avondale Revitalization Area Avondale Infill Incentive District	Create Business Growth & Expansion Opportunities	CDBG: \$63,044	Facade treatment/business building rehabilitation: 1 Business Businesses assisted: 5 Businesses Assisted

Table 6 – Goals Summary

### Goal Descriptions

1	<b>Goal Name</b>	Preserve and Expand Affordable Housing Inventory
	<b>Goal Description</b>	The City will continue to operate its Emergency and Substantial Home Repair Programs during the 2018-2019 Plan Year. CDBG funds will be used to provide income-qualified homeowners with grants to address health and safety living conditions. HOME funds will be used to expand upon these activities to include more comprehensive repairs at income-qualified, owner-occupied units. The City will also use HOME Consortium funds to provide downpayment and closing cost assistance to qualified households for the purchase of a first home within the City of Avondale. The City will provide forgivable loans within the First-Time Homebuyer and Substantial Home Repair Programs with HOME Consortium funds and program income.
2	<b>Goal Name</b>	Replace Outdated Infrastructure in LMI Areas
	<b>Goal Description</b>	The City will continue to fund streets reconstruction and infrastructure improvements in its Historic Avondale Revitalization Area. CDBG funds will be expended for design costs and at grade improvements, including repaving, curbing and installation of ADA-compliant corner ramps, among others as determined through the project scoping process.

3	<b>Goal Name</b>	Support Programming for Underserved Populations
	<b>Goal Description</b>	The City will continue to operate its Next Step Youth Services Program and Tuition Assistance Program in the 2018-2019 program year. Next Step will assist approximately 26 youth under the age of 21 with paid internships located in various City of Avondale Departments, as well as businesses in the City's Revitalization Areas (as available). In most cases, participation in the program is the first real world employment experienced by participants. Approximately one Next Step participant may be offered ongoing employment through the program beyond the 7-week program tenure.
4	<b>Goal Name</b>	Promote Business Development and Growth
	<b>Goal Description</b>	The City will undertake a broad approach to assisting businesses in the City's Revitalization Areas (Historic Avondale, Rio Vista, Las Ligas and Cashion). This approach may include providing technical assistance to local businesses, sponsoring local events that support businesses in the target areas, completing training events and providing financing for commercial rehabilitation (i.e. facade improvements), as well as streetscape and other beautification projects that enhance the aesthetic and increase economic activity within the City's Revitalization Areas.

## AP-35 Projects - 91.420, 91.220(d)

### Introduction

The City will undertake the following programs in FY 2018-2019: emergency and substantial home repair; streets reconstruction; Next Step job training to area youth and Revitalization/Small Business Assistance. HOME funds received through Maricopa County will be used to support the City's First Time Homebuyer Program and Substantial Home Repair Program.

#	Project Name
1	Emergency Home Repair Program
2	Historic Avondale Streets Reconstruction
3	Revitalization & Small Business Assistance
4	Youth Services
5	Administration

Table 7 – Project Information

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Survey analysis and public comments indicate a high need for all projects proposed in the 2018-2019 program year. Low incomes persist in the City's Revitalization Areas, which necessitates the City's intervention to assist low and moderate income homeowners, improve area infrastructure, remove dangerous blighted conditions and redevelop the Historic Avondale Revitalization area.

**AP-38 Project Summary**  
**Project Summary Information**

1	<b>Project Name</b>	Emergency Home Repair Program
	<b>Target Area</b>	Historic Avondale Revitalization Area Avondale Infill Incentive District
	<b>Goals Supported</b>	Preserve and Expand Affordable Housing Inventory
	<b>Needs Addressed</b>	Preserve & Expand Quality Affordable Housing
	<b>Funding</b>	CDBG: \$258,097
	<b>Description</b>	Provide grant assistance to income-eligible owner-occupied single family unit homeowners to eliminate health and safety conditions.
	<b>Target Date</b>	6/30/2023
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 20 LMI households will benefit directly from this activity.
	<b>Location Description</b>	The City makes emergency home repair assistance available to all qualified households anywhere in the City. However, the vast majority of beneficiaries (95%) live in one of the City's four Revitalization Areas (Historic Avondale, Cashion, Rio Vista, Las Ligas).
<b>Planned Activities</b>	Eligible work items may include, but are not limited to repair/replacement of: roofs, HVAC/mechanical/electrical/plumbing systems, code violations, ADA/accessibility modifications, lead-based paint mitigation, and other actions that will remove health and safety conditions that adversely affect the quality of life of residents.	
2	<b>Project Name</b>	Historic Avondale Streets Reconstruction
	<b>Target Area</b>	Historic Avondale Revitalization Area
	<b>Goals Supported</b>	Replace Outdated Infrastructure in LMI Areas
	<b>Needs Addressed</b>	Replace outdated infrastructure
	<b>Funding</b>	CDBG: \$225,000
	<b>Description</b>	The City will leverage Capital Improvement Program (CIP) funds with CDBG funds to replace underground utilities and at-grade street features in the Historic Avondale Revitalization Area. CIP funds typically pay for engineering services and underground water and sewer line replacement, while CDBG funds pay for resurfacing, ADA corner ramps and curbing.
	<b>Target Date</b>	6/30/2023

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 500 people will benefit on an area basis from the expenditure of CDBG funds.
	<b>Location Description</b>	Street and underground utility improvements will occur in Historic Avondale at approximately seven (7) streets TBD.
	<b>Planned Activities</b>	The City will leverage Capital Improvement Program (CIP) funds with CDBG funds to replace underground utilities and at-grade street features in the Historic Avondale Revitalization Area. CIP funds typically pay for engineering services and underground water and sewer line replacement, while CDBG funds pay for resurfacing, ADA corner ramps and curbing.
<b>3</b>	<b>Project Name</b>	Revitalization & Small Business Assistance
	<b>Target Area</b>	Historic Avondale Revitalization Area Avondale Infill Incentive District
	<b>Goals Supported</b>	Promote Business Development and Growth
	<b>Needs Addressed</b>	Create Business Growth & Expansion Opportunities
	<b>Funding</b>	CDBG: \$63,044
	<b>Description</b>	The City will provide technical assistance, training opportunities, sponsor public events and undertake facade improvements to benefit businesses in the City's Infill Incentive District, with a particular emphasis on the Historic Avondale Revitalization Area.
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately one business will benefit from commercial facade assistance, and five (5) businesses will benefit from loan guarantees, technical assistance, and other revitalization activities.
	<b>Location Description</b>	Business assistance will be limited to the commercial areas of the City's Revitalization Areas (Historic Avondale, Cashion, Rio Vista, Las Ligas).
	<b>Planned Activities</b>	The City will provide technical assistance, training opportunities, sponsor public events, undertake facade improvements, provide loans and loan guarantees, install streetscape/beautification enhancements and other activities to benefit businesses in the City's Revitalization Areas.
	<b>Project Name</b>	Youth Services

4	<b>Target Area</b>	Historic Avondale Revitalization Area Avondale Infill Incentive District
	<b>Goals Supported</b>	Support Programming for Underserved Populations
	<b>Needs Addressed</b>	Provide Opportunities to Vulnerable Populations
	<b>Funding</b>	CDBG: \$32,500
	<b>Description</b>	The City of Avondale will provide approximately twenty (20) youth aged 15-21 with paid summer internships. Various City Departments will host the interns, where they will learn valuable
	<b>Target Date</b>	12/31/2020
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Twenty-six youths aged 16-21 will receive paid job training through the City's Next Step Program.
	<b>Location Description</b>	Youth will be placed in Departments throughout the City as well as businesses in the Historic Avondale Revitalization Area.
	<b>Planned Activities</b>	Approximately 26 students will be placed in Departments throughout the City to participate in a six-week summer internship program. Students will learn appropriate skills for working in a professional environment, as well as Department-specific skills (i.e. IT, public safety. Public Works, etc.).
5	<b>Project Name</b>	Administration
	<b>Target Area</b>	Historic Avondale Revitalization Area Avondale Infill Incentive District
	<b>Goals Supported</b>	Preserve and Expand Affordable Housing Inventory Replace Outdated Infrastructure in LMI Areas Support Programming for Underserved Populations Promote Business Development and Growth
	<b>Needs Addressed</b>	Preserve & Expand Quality Affordable Housing Replace outdated infrastructure Provide Opportunities to Vulnerable Populations Create Business Growth & Expansion Opportunities Remove Dangerous & Obsolete Structures
	<b>Funding</b>	CDBG: \$144,660
	<b>Description</b>	Administration for 2017-2018 program year.
	<b>Target Date</b>	6/30/2019

<b>Estimate the number and type of families that will benefit from the proposed activities</b>	NA
<b>Location Description</b>	NA
<b>Planned Activities</b>	The City will use up to 20% of CDBG funds for payroll, overhead and other eligible administrative costs.

## **AP-50 Geographic Distribution - 91.420, 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Housing activities with a direct benefit to individual households may be undertaken anywhere in the City provided that the household qualifies as low and moderate income. However, the City will target its programs to the following areas:

Avondale will direct assistance to low- to moderate-income Block Groups (i.e., where 51 percent or more of households earn less than 80 percent of the HUD-defined area median income). The City has 11 Block Groups that meet these criteria:

- Census Tract 612, Block Group 1 (90.4% LMI)
- Census Tract 612, Block Group 2 (65.7% LMI)
- Census Tract 612, Block Group 3 (62.2% LMI)
- Census Tract 613, Block Group 1 (60.9% LMI)
- Census Tract 614.01, Block Group 1 (80.9% LMI)
- Census Tract 614.01, Block Group 2 (94.8% LMI)
- Census Tract 614.02, Block Group 1 (53.9% LMI)
- Census Tract 614.02, Block Group 2 (60.3% LMI)
- Census Tract 614.02, Block Group 3 (74.7% LMI)
- Census Tract 820.22, Block Group 2 (73.7% LMI)
- Census Tract 822.08 , Block Group 1 (52.8% LMI)
- Census Tract 822.09, Block Group 2 (73.2% LMI)

Target neighborhoods that are located in these Block Groups include, but are not limited to, Las Ligas, Historic Avondale, Rio Vista and Cashion.

Activities that will provide area benefits to low and moderate income households will be restricted to the above Census Tracts.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
Historic Avondale Revitalization Area	80

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

The City's analysis of housing, infrastructure and economic conditions reveals ongoing need in the oldest sections of the City (census tracts above). Substandard housing, outdated infrastructure, low incomes

and higher unemployment, though improved since the completion of the last Consolidated Plan, persist in these areas. The City will continue to target CDBG and HOME funded activities in these areas, though direct benefit activities (i.e. housing rehabilitation) will be available to any income-qualified homeowners in the City.

## **Discussion**

The City's analysis of housing, infrastructure and economic conditions reveals ongoing need in the oldest sections of the City (census tracts above). Substandard housing, outdated infrastructure, low incomes and higher unemployment, though improved since the completion of the last Consolidated Plan, persist in these areas. The City will continue to target CDBG and HOME funded activities in these areas, though direct benefit activities (i.e. housing rehabilitation) will be available to any income-qualified homeowners in the City.



## **AP-75 Barriers to affordable housing -91.420, 91.220(j)**

### **Introduction**

As a member of the Maricopa HOME Consortium, the City of Avondale participated in the development and now implementation of the the 2015 Analysis of Impediments to Fair Housing Choice (AI). Impediments identified include:

1. Lack of accessible housing and discrimination against persons with disabilities
2. Lack of awareness of Fair Housing Laws
3. Cost of affordable housing limits housing choice
4. Poor financial history of potential homebuyers
5. Lack of transportation options in rural/unincorporated Maricopa County
6. Unequal distribution of resources, particularly public facilities

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

The City initiated the Infill Incentive Program in early 2015 - a fee abatement program focused on the City's Revitalization Areas. The program allows a 50% fee reduction for permits and plan review fees for all parties that commit to rehabilitating or constructing new housing or commercial buildings in Historic Avondale, Cashion and Rio Vista/Las Ligas. The program has been exceptionally successful, as several dozen projects in these areas have received assistance, resulting in new affordable housing units, as well as upgraded businesses and additional employment.

The City will also continue to operate its HUD-funded programs to assist low and moderate income households with the resources needed to stay in their home, purchase a first home, and concentrate resources in a location that is accesible and convenient to the City's low and moderate income households.

### **Discussion**

While the AI was completed on a regional basis, the City of Avondale recognizes many of these conditions present within the City. Of particular

concern within the City of Avondale are cost of maintaining existing housing, financial history and education of potential homebuyers, lack of accessible housing and limited transportation opportunities. The City will continue to form partnerships and operate programming to expand access to affordable units.

## **AP-85 Other Actions - 91.420, 91.220(k)**

### **Introduction**

The City of Avondale takes a multipronged approach to improve the quality of life of residents, particularly to low and moderate income households, those with special needs and the homeless. The City continues to expand programs related to housing assistance, social services and economic opportunity.

### **Actions planned to address obstacles to meeting underserved needs**

The City will continue to operate its successful programs, such as CAP, Helping Families in Need, Contributions Assistance and the myriad others operated through Neighborhood and Family Services. The City continually seeks to improve the efficiency in program operations, as well as increase partnerships that expand existing programs and develop new programs. The City will continue to partner with Americorps through the VISTA and NCC programs to build capacity and implement projects. Finally, the City is reviewing the possibility of developing a non-profit that will provide additional capacity, as well as open new funding sources to benefit area residents in need.

### **Actions planned to foster and maintain affordable housing**

The City will maintain its CDBG and HOME-funded rehabilitation and first-time homebuyer programs. In addition, the City may also use program income from its NSP1 and NSP3 grants to supplement these programs. Finally, as the City explores the potential for creating a non-profit to increase human services capacity, the City may pursue CHDO designation in order to capture additional HOME funds to supplement its affordable housing initiatives.

### **Actions planned to reduce lead-based paint hazards**

The City will undertake the following actions in 2018-2019 to evaluate and reduce lead-based paint hazards and to integrate lead-based paint hazard reduction into Avondale's housing policies. All activities will be completed in accordance with Title X of the 1992 Housing and Community Development Act: 1. adhere to HUD Lead Safe Housing Rule 24 CFR Part 35 in the conduct of rehabilitation activities, and 2. provide information to housing recipients as well as the general public

about the hazards of lead-based paint.

### **Actions planned to reduce the number of poverty-level families**

The City's Care1st Avondale Resource Center will continue to operate the following programs to reduce the number of poverty-level families in the City and the Southwest Valley:

- Contributions Assistance Program – grants to area non-profits that provide human services to Avondale residents
- Helping Families in Need – assistance with renewal and applications for AHCCCS health care, nutrition assistance (food stamps) and cash assistance
- Community Action Partnership Program – rental and utility assistance
- Southwest Valley Literacy – GED, ESL, and literacy classes
- Adelante Healthcare – Women, Infant and Children the USDA food program
- Eve's Place – domestic violence support groups
- New Life Center – domestic violence awareness, safety planning and shelter referrals
- New Leaf-Siemer – homelessness prevention program with partnering schools
- Teen Outreach Pregnancy – support for pregnant teens, prenatal and parenting support
- Maricopa Workforce Connection – employment services and resources
- Area Agency on Aging – support for the senior community through resources and referrals
- Father Matters – support for parents going through divorce and custody issues
- Maricopa County Juvenile Probation Department (youth life skills and parenting classes)
- Salvation Army – community support and emergency financial assistance
- International Rescue Committee – citizenship test prep classes
- New Directions Institute – baby brain box classes and playgroups for parents and children birth to 5 years
- Maricopa County Department of Health – SNACK Program, car seat safety class/car seat distribution
- First Things First – developmental, hearing and vision screenings for children birth to five years of age
- Kith and Kin – classes to educate in home child providers on best practices
- First Teeth First – free dental screenings, fluoride varnishing and dental education children birth to five
- University of Arizona – Expanded Food and Nutrition Program
- Arizona Priority Education and Counseling – court-mandated parenting classes
- Southwest Families Advocacy Center – parent & child sexual abuse prevention workshops
- Arizona Science Center – classes for Science, Technology, Engineering and Math for children

- aged birth to five
- Maricopa County Human Services Department – Weatherization Program intake and coordination
- Goodwill of Central Arizona - job training readiness and employment referrals
- Housing Authority of Maricopa County – Housing Choice Voucher Program and Public Housing intake and coordination

### **Actions planned to develop institutional structure**

The City is currently exploring the possibility of developing a nonprofit to increase human service capacity for area residents. Development of such an organization will not only increase capacity, but increase available funding to the City's programs. Neighborhood and Family Services will also continue to work directly with other City Departments to coordinate development and implementation of programs that encompass diverse resident needs. Finally, the City will continue to maintain its relationship with Americorps through participation in the VISTA and NCCC programs, which will increase the City's operational and programmatic capacity and ability to complete projects throughout the City.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

As the City's human services needs continue to grow, the City will continue to pursue and form partnerships with other organizations. Several service providers maintain space in the Care1st Avondale Resource Center, which acts a human services hub for the City and the Southwest Valley. Services provided at the Center are diverse and comprehensive, including those related to housing and Weatherization, illiteracy, domestic abuse, early childhood development, nutrition, elder care, health care and AHCCCS, citizenship and English as a Second Language, financial literacy, education, youth education and employment, homelessness prevention, employment training, crisis intervention, tax assistance, and parenting skills.

### **Discussion**

The City will continue to form and develop internal and external partnerships to meet the needs of underserved populations, enhance capacity to deliver services and reduce the number of households living in poverty. The City will continue operate its home repair programs to mitigate lead-based paint hazards and allow low and moderate income households to remain in their homes. The City's First-Time Homebuyer Program (still in development) will provide gap financing for low and moderate income

families to afford their first home. The City will also continue to provide the Contributions Assistance Program to area service providers and will operate the Care1st Avondale Resource Center where 70,000 persons per year are served.

## Program Specific Requirements

### AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

#### Introduction

The City of Avondale does not undertake any program income generating activities with CDBG funds, activities funded under the Urgent Community Need National Objective, nor any of the activities listed in this section.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	70.00%

## **Discussion**

The City of Avondale does not undertake any program income generating activities, activities funded under the Urgent Community Need National Objective, nor any of the activities listed in this section.

## Attachments

## Citizen Participation Comments

Avondale 2018-2019 Annual Action Plan

### Summary of Citizen Comments

The City's public participation process indicated a need to continue funding programs that: preserve existing housing stock; expand affordable housing opportunities for low and moderate income households; develop and support programming for youth and other underserved populations; improve public safety through the acquisition and demolition of unsafe structures; expand economic opportunities through infrastructure development; assist small businesses and redevelopment within the City's Revitalization Areas.

At the first public hearing held on February 21, 2018, one attendee commented on the need for additional public services in the City, particularly to seniors, and questioned the City's lack of CDBG funding provided to external human service organizations. Staff responded that the City has a robust senior program funded through the Area Agency on Aging and City General Funds, as well as the Contributions Assistance Program, which provides General Funds to non-profits that provide human services that benefit Avondale residents. Staff further explained that given the level of CDBG funding received versus the needs of the community, the amount of funding available for additional public services would not have a noticeable impact and would be more effective supporting other activities.

The City held its second public hearing concurrently with the Neighborhood and Family Services Commission meeting on February 28, 2018. Attendees recognized the high degree of need for the discussed activities, and questioned the need to allocate 20% of the City's CDBG allocation to administration. Staff explained that the 20% administrative allocation is within the allowable regulatory cap and covers payroll costs for 1.25 FTEs responsible for overseeing and implementing program activities.

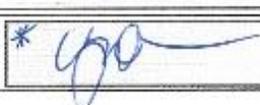


Grantee SF-424's and Certification(s)

OMB Number: 4343-0004  
Expiration Date: 12/31/2018

Application for Federal Assistance SF-424		
* 1. Type of Submission:	* 2. Type of Application:	* If Revision, select appropriate label(s)
<input type="checkbox"/> Preapplication	<input type="checkbox"/> New	[Dropdown]
<input checked="" type="checkbox"/> Application	<input checked="" type="checkbox"/> Continuation	* Other (Specify)
<input type="checkbox"/> Changed/Corrected Application	<input type="checkbox"/> Revival	[Text Box]
* 3. Date Received:	4. Applicant Identifier:	
06/28/2018	[Text Box]	
6a. Federal Entity Identifier:	5a. Federal Award Identifier:	
[Text Box]	[Text Box]	
State Use Only:		
8. Date Received by State:	7. State Application Identifier:	
[Text Box]	[Text Box]	
B. APPLICANT INFORMATION:		
* a. Legal Name: City of Avondale		
* b. Employer/Taxpayer Identification Number (EIN/TIN):	* c. Organizations DUNS:	
89-6000233	0024868840000	
d. Address:		
* Street1:	11403 W Civic Center Drive	
Street2:	[Text Box]	
* City:	Avondale	
Country/Parish:	[Text Box]	
* State:	AZ: Arizona	
Province:	[Text Box]	
* Country:	USA: UNITED STATES	
* Zip/Postal Code:	85323	
e. Organizational Unit:		
Department Name:	Division Name:	
Neighborhood & Family Services	Housing/Community Development	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	* First Name:	Matthew
Mr.	[Text Box]	[Text Box]
Middle Name:	[Text Box]	
* Last Name:	Hess	
Suffix:	[Text Box]	
Title:	Housing & Community Development Manager	
Organizational Attention:		
City of Avondale		
* Telephone Number:	623-355-2726	* Fax Number:
[Text Box]	[Text Box]	[Text Box]
* Email: mhess@avondale.org		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="US Dept. of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text"/>	
CFDA Title: <input type="text"/>	
* 12. Funding Opportunity Number: <input type="text"/>	
* Title: <input type="text" value="Community Development Block Grant Entitlement Program"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="\$723,301 in CDBG funds will be used for administration, streets/infrastructure improvements, housing rehabilitation, public services and revitalization activities."/>	
Attach supporting documents as specified in agency instructions <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts DB:	
* a. Applicant: AZ3	* b. Program/Project: CDBG
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: 7/1/2018	* b. End Date: 6/30/2023
18. Estimated Funding (\$):	
* a. Federal:	723,001
* b. Applicant:	
* c. State:	
* d. Local:	
* e. Other:	
* f. Program Income:	
* g. TOTAL:	
* 19. Is Application Subject In Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the state under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
* The list of certifications and assurances, or an Internal site where you may obtain the list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Ms.	* First Name: Cynthia
Middle Name:	
* Last Name: Casselhammer	
Suffix:	
* Title: Interim City Manager	
* Telephone Number: 620-333-1018	Fax Number:
* Email: ccasselhammer@sevierjefc.org	
* Signature of Authorized Representative: 	* Date Signed: 6/25/18

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0039  
 Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0548-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

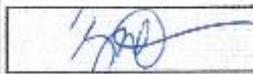
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to ensure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4501 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-256), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-816), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)  
 Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-648) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7325) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§278a to 278a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11906; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Interim City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Avondale	6/26/18

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## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- I will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 133.

  
Signature/Authorized Official

6/25/18  
Date

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program years 2018 and 2019, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K, and R;

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature/Authorized Official

6/25/18

\_\_\_\_\_  
Date

**Interim City Manager**

\_\_\_\_\_  
Title

**OPTIONAL CERTIFICATION  
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

**Not applicable to City of Avondale**

\_\_\_\_\_  
Signature/Authorized Official      Date

\_\_\_\_\_  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

 6/25/18  
\_\_\_\_\_  
Signature/Authorized Official      Date

**Interim City Manager**  
\_\_\_\_\_  
Title

### ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction's consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

**Not applicable to the City of Avondale**  
\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**IOPWA Certifications**

The IOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility.
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

**Not Applicable to the City of Avondale**

\_\_\_\_\_  
Signature/Authorized Official

\_\_\_\_\_  
Date

\_\_\_\_\_  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING:**

**A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.